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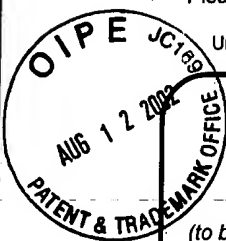
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8-19-02Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Application Number	09/866,450		
	Filing Date	May 25, 2001	
	First Named Inventor	Jonathan Bye	
	Group Art Unit	2164	
	Examiner Name	TBA	
Total Number of Pages in This Submission		Attorney Docket Number	05222.00118

ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment / Response
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/ Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) _____

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter

- ☒ Other Enclosure(s) (please identify below):

9 references
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Remarks

Please charge any additional fees or credit overpayment to Deposit Account No. 19-0753. A duplicate copy of this sheet is enclosed.

EL957199186US

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Brian S. Clise, Reg. No. 47,497
Signature	<i>Brian S. Clise</i>
Date	August 12, 2002

CERTIFICATE OF MAILINGI hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

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**CERTIFICATE OF EXPRESS MAIL
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By:   **RECEIVED**
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GROUP 3600

Patent Application of: Jonathan Bye
Serial No.: 09/866,450
Title: Fact Based Negotiation Tool

- Transmittal Form in duplicate
- Information Disclosure Statement (3 pages)
- 9 references
- Return Receipt Postcard



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of Jonathan Bye

Serial No: 09/866,450

Filed: May 25, 2001

For: FACT BASED NEGOTIATION TOOL

)
) Group Art No. 2164

) Examiner: TBA

)
) Docket No. 005222.00118

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

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GROUP 3600

Pursuant to 37 C.F.R. §1.56 and in compliance with 37 C.F.R. §1.97, Applicant submits herewith Form PTO-1449 identifying information for consideration by the Examiner. A copy of the cited references is enclosed.

It is believed that no Office Action on the merits has been mailed. Therefore, this Statement is being filed under 37 CFR §1.97 (b)(3), and no fee is believed due for this Statement to be considered. In the event a fee is due, authorization is given to charge such to Deposit Account No. 19-1733.

Applicant does not waive any right to take appropriate action to establish patentability over the listed documents should they be applied as a reference against the claims of the present application.



Consideration of the cited information and making the same of record in the prosecution of the above-noted application are respectfully requested. Should the Patent and Trademark Office determine that a fee is required, please charge our Deposit Account No. 19-0733.

Respectfully submitted,

BANNER & WITCOFF, LTD.

Dated: August 12, 2002

By: Brian S. Clise

Brian S. Clise

Registration No. 47,497

Banner & Witcoff, Ltd.

Ten South Wacker Drive, Suite 3000

Chicago, IL 60606

Phone: 312-715-1000

Facsimile: 312-715-1234

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USPTO Form 1449 U.S. Department of Commerce
Patent and Trademark Office

INFORMATION DISCLOSURE

CITATION

Sheet 1 of 1

Attorney Docket No.
005222.00118

Serial No.
09/866,450

Applicant(s): Jonathan Bye

Filing Date: May 25, 2001

Group: 2164

U.S. PATENT DOCUMENTS

Examiner Initial	Patent No.	Date	Name	Class	Subclass	Filing Date (if appropriate)
	6,094,641	7/25/00	Ouimet et al.			
	6,078,901	6/20/00	Ching			
	6,061,658	5/9/00	Chou et al.			
	5,974,396	10/26/99	Anderson et al.			
	5,960,415	9/28/99	Williams			
	5,930,764	7/27/99	Melchione et al.			
	5,712,985	1/27/98	Lee et al.			
	5,615,109	3/25/97	Eder			
	5,377,095	12/27/94	Maeda et al.			

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FOREIGN PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Country	Class	Subclass	Translation	
						YES	NO

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.